

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: November 6-7, 2002

Reference No.: 2.6a.(1)
Action Item

From: ROBERT L. GARCIA
Chief Financial Officer

Prepared by: Robert Sertich
Chief
Division of Budgets

Ref: **FINANCIAL ALLOCATION FOR LOCAL STATE TRANSPORTATION
IMPROVEMENTS PROGRAM RAIL/TRANSIT PROJECTS RESOLUTION MFP-02-16**

RECOMMENDATION:

The California Department of Transportation (Department) recommends the California Transportation Commission (Commission) approve the following Resolution, allocating a total of \$3,676,000 in the State Highway Account (SHA) funds, from Items 2660-101-0042 and 2660-101-0890 of the Budget Acts of 2001 and 2002, for four (4) Local State Transportation Improvement Program (STIP) Rail/Transit project on the attached Vote List.

FINANCIAL RESOLUTION:

Resolved That:

A total of \$3,676,000 in the State Highway Account (SHA) funds, programmed in the 2002 State Transportation Improvement Program, be allocated to the Recipients for the project on the attached Vote List.

The Recipients are committed to providing any required local matching funds and to fully fund implementation of the project in compliance with grant funding requirements and Commission policy. For acquisitions of rail right-of-way properties, the Recipients have performed, with diligence, the process of identification and remediation of any hazardous waste in the right-of-way, easements and properties, in accordance with the Commission's established "Hazardous Waste Identification and Clean-up Policy" (Resolution G-91-2).

Reimbursement of eligible costs is subject to the policies, restrictions and assurances as set forth in the Commission's policy for allocating, monitoring, and auditing local assistance grant

projects, and is governed by the terms and conditions for the Fund Transfer Agreement (FTA), and subsequent amendments to the same if required, as executed between the Recipients and the Department.

The Recipients shall provide the Department with quarterly expenditure plans by category including any proposed changes for the balance of all funded project allocations commencing with the first quarter.

In the event that the Recipients, or successor public entities, fail or cease to utilize the Projects' properties for the intended public transit purposes or sell or transfer title of the Projects, this Commission shall be entitled to a then present value refund, or credit, at State's option, equivalent to the proportionate funding participation by the State towards the Projects. The credit due the Commission in each instance will be measured by the ratio of State and other public funding to that provided from Recipients. That ratio will be applied to the fair market value of the Projects' property.

The Recipients shall provide the Department with notification of contract award within twelve (12) months from the date of this allocation, and must complete the work to be reimbursed and the actual reimbursement within thirty-six (36) months from award of said contract, unless the Commission authorizes a waiver that extends, if permitted by statute, the period of availability of the funds.

Or, Funds allocated for local project development or right of way costs must be expended by the end of the second year following the fiscal year in which the funds were allocated. For local grant projects, the local agency must invoice Caltrans for these costs no later than 180 days after the fiscal year in which the final expenditure occurred unless the Commission authorizes a waiver that extends, if permitted by statute, the period of availability of the funds.

Attachment(s)

Project # Allocation Amount Recipient RTPA/CTC District-County Legislative District	Location Project Description Project Funding	EA Dist-PPNO Program / Year Programmed: PA&ED PS&E R/W CONST	Budget Year Item # Program Code	Allocation Amount State Federal Total Amount																														
2.6a(1) Local State Transportation Improvement Program (STIP) Rail/Transit Projects				Resolution MFP-02-16																														
1 \$248,000 Humboldt Transit Authority HCAOG 01-Humboldt Senate: 2 Assembly: 1	Purchase Regional Transit Vehicle Purchase one 40-foot, 44-passenger, diesel-powered, ADA-compliant transit bus.	B000BA 01-2002T RIP / 02/03	2002-03 101-0042 101-0890 30.10.070.626	- \$248,000																														
	<table> <tr> <th>FUNDING</th><th>PRIOR</th><th>CURRENT</th><th>FUTURE</th><th>TOTAL</th></tr> <tr> <td>State</td><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr> <td>ST-Fed.</td><td>\$461,000</td><td>\$248,000</td><td>\$261,000</td><td>\$970,000</td></tr> <tr> <td>Local</td><td>\$60,000</td><td>\$32,000</td><td>\$31,000</td><td>\$123,000</td></tr> <tr> <td>L-Fed.</td><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr> <td>TOTAL</td><td>\$521,000</td><td>\$280,000</td><td>\$292,000</td><td>\$1,093,000</td></tr> </table>	FUNDING	PRIOR	CURRENT	FUTURE	TOTAL	State	\$0	\$0	\$0	\$0	ST-Fed.	\$461,000	\$248,000	\$261,000	\$970,000	Local	\$60,000	\$32,000	\$31,000	\$123,000	L-Fed.	\$0	\$0	\$0	\$0	TOTAL	\$521,000	\$280,000	\$292,000	\$1,093,000	\$0 \$0 \$0 \$248,000		\$248,000
FUNDING	PRIOR	CURRENT	FUTURE	TOTAL																														
State	\$0	\$0	\$0	\$0																														
ST-Fed.	\$461,000	\$248,000	\$261,000	\$970,000																														
Local	\$60,000	\$32,000	\$31,000	\$123,000																														
L-Fed.	\$0	\$0	\$0	\$0																														
TOTAL	\$521,000	\$280,000	\$292,000	\$1,093,000																														
2 \$2,886,000 Los Angeles County Metropolitan Transportation Authority LACMTA 07-Los Angeles Senate: 17 Assembly: 36	Palmdale Transportation Center Construction of the Palmdale Intermodal Transportation Center. (Cost increase in CONST of \$250,000 covered by shifting \$250,000 in Right of Way funds. Existing Local Contribution of \$250,000 for CONST will be shifted to Right of Way. No change in scope. RTPA concurs.)	B000BA 07-2907 RIP / 02-03	2002-03 101-0042 101-0890 30.10.070.625	- \$2,886,000																														
	<table> <tr> <th>FUNDING</th><th>PRIOR</th><th>CURRENT</th><th>FUTURE</th><th>TOTAL</th></tr> <tr> <td>State</td><td>\$6,000</td><td>\$0</td><td>\$0</td><td>\$6,000</td></tr> <tr> <td>ST-Fed.</td><td>\$0</td><td>\$2,886,000</td><td>\$0</td><td>\$2,886,000</td></tr> <tr> <td>Local</td><td>\$4,690,571</td><td>\$370,000</td><td>\$0</td><td>\$5,060,571</td></tr> <tr> <td>L-Fed.</td><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr> <td>TOTAL</td><td>\$4,696,571</td><td>\$3,256,000</td><td>\$0</td><td>\$7,952,571</td></tr> </table>	FUNDING	PRIOR	CURRENT	FUTURE	TOTAL	State	\$6,000	\$0	\$0	\$6,000	ST-Fed.	\$0	\$2,886,000	\$0	\$2,886,000	Local	\$4,690,571	\$370,000	\$0	\$5,060,571	L-Fed.	\$0	\$0	\$0	\$0	TOTAL	\$4,696,571	\$3,256,000	\$0	\$7,952,571	\$0 \$0 \$250,000 \$0 \$2,636,000 \$2,886,000		\$2,886,000
FUNDING	PRIOR	CURRENT	FUTURE	TOTAL																														
State	\$6,000	\$0	\$0	\$6,000																														
ST-Fed.	\$0	\$2,886,000	\$0	\$2,886,000																														
Local	\$4,690,571	\$370,000	\$0	\$5,060,571																														
L-Fed.	\$0	\$0	\$0	\$0																														
TOTAL	\$4,696,571	\$3,256,000	\$0	\$7,952,571																														
3 \$480,000 City of Los Angeles LACMTA 07-Los Angeles Senate: 22, 25, 26 Assembly: 46, 47, 48, 51, 52	Mid-Cities Los Angeles Transit Hubs Enhance passenger facilities at bus stops in Mid-Cities area of Los Angeles.	T025SB 07-2905 RIP / 01-02	2001-02 101-0042 101-0890 30.10.070.625	\$100,000 -																														
	<table> <tr> <th>FUNDING</th><th>PRIOR</th><th>CURRENT</th><th>FUTURE</th><th>TOTAL</th></tr> <tr> <td>State</td><td>\$128,000</td><td>\$480,000</td><td>\$0</td><td>\$608,000</td></tr> <tr> <td>ST-Fed.</td><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr> <td>Local</td><td>\$32,000</td><td>\$120,000</td><td>\$0</td><td>\$152,000</td></tr> <tr> <td>L-Fed.</td><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr> <td>TOTAL</td><td>\$160,000</td><td>\$600,000</td><td>\$0</td><td>\$760,000</td></tr> </table>	FUNDING	PRIOR	CURRENT	FUTURE	TOTAL	State	\$128,000	\$480,000	\$0	\$608,000	ST-Fed.	\$0	\$0	\$0	\$0	Local	\$32,000	\$120,000	\$0	\$152,000	L-Fed.	\$0	\$0	\$0	\$0	TOTAL	\$160,000	\$600,000	\$0	\$760,000	\$0 \$0 \$100,000 \$0 \$380,000 RIP / 02-03	2002-03 101-0042 101-0890 30.10.070.625	\$380,000 -
FUNDING	PRIOR	CURRENT	FUTURE	TOTAL																														
State	\$128,000	\$480,000	\$0	\$608,000																														
ST-Fed.	\$0	\$0	\$0	\$0																														
Local	\$32,000	\$120,000	\$0	\$152,000																														
L-Fed.	\$0	\$0	\$0	\$0																														
TOTAL	\$160,000	\$600,000	\$0	\$760,000																														
	(A 12 month time extension was granted for FY 2001-02 CON funds at the June 2002 CTC meeting that expires in June 2003)	\$0 \$0 \$0 \$380,000		\$480,000																														
4 \$62,000 Mono County Mono LTC 09-Mono County Senate: 1 Assembly: 4	Bus Purchase for Inyo-Mono Transit Purchase one 23-foot, 17-passenger, diesel-powered, ADA-compliant, replacement buses.	B000BA 09-2015 RIP / 02-03	2002-03 101-0042 101-0890 30.10.070.626	- \$62,000																														
	<table> <tr> <th>FUNDING</th><th>PRIOR</th><th>CURRENT</th><th>FUTURE</th><th>TOTAL</th></tr> <tr> <td>State</td><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr> <td>ST-Fed.</td><td>\$0</td><td>\$62,000</td><td>\$62,000</td><td>\$124,000</td></tr> <tr> <td>Local</td><td>\$0</td><td>\$8,000</td><td>\$8,000</td><td>\$16,000</td></tr> <tr> <td>L-Fed.</td><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr> <td>TOTAL</td><td>\$0</td><td>\$70,000</td><td>\$70,000</td><td>\$140,000</td></tr> </table>	FUNDING	PRIOR	CURRENT	FUTURE	TOTAL	State	\$0	\$0	\$0	\$0	ST-Fed.	\$0	\$62,000	\$62,000	\$124,000	Local	\$0	\$8,000	\$8,000	\$16,000	L-Fed.	\$0	\$0	\$0	\$0	TOTAL	\$0	\$70,000	\$70,000	\$140,000	\$0 \$0 \$0 \$70,000 \$62,000		\$62,000
FUNDING	PRIOR	CURRENT	FUTURE	TOTAL																														
State	\$0	\$0	\$0	\$0																														
ST-Fed.	\$0	\$62,000	\$62,000	\$124,000																														
Local	\$0	\$8,000	\$8,000	\$16,000																														
L-Fed.	\$0	\$0	\$0	\$0																														
TOTAL	\$0	\$70,000	\$70,000	\$140,000																														
	(Savings of \$8,000 will revert to Mono County shares)																																	